



**UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:** Farris, Barry L.  
**SERIAL NO.:** 09/524,213  
**FILED:** March 13, 2000  
**FOR:** Apparatus and Method for  
the Storage and Transfer  
of a Lyophilisate

**ART UNIT:** 3762

**EXAMINER:** Not Yet Assigned

**To:** Assistant Commissioner For Patents  
Washington, DC 20231

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING OF FIRST OFFICE ACTION UNDER 37 CFR 1.97(b)**

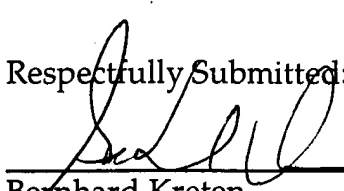
The references listed on the appended PTO 1449 form reflect the state of the art of which applicant is currently aware. These references are included to fulfill the applicant's duty to timely disclose prior art. It is stipulated, however, that none of these references teach singly, nor render obvious when combined, the nexus of this invention as disclosed and as particularly claimed.

**IDENTIFICATION OF TIME OF FILING THE  
ACCOMPANYING INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed before the mailing date of a first Office Action on the merits.

Dated: September 24, 2001

Respectfully Submitted:

  
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[Transmittal of Information Disclosure Statement]

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